MoneySmart MANITOBA

Adviser Visit Checklist

Here are three simple questions to keep in mind ***before*** you meet with your financial adviser, a few to ask ***during*** the meeting, and what you should do ***after*** the meeting has wrapped up.

BEFORE!

Bring a notepad and pen to record the details of your conversation and any important decisions made.

Bring any pertinent financial information necessary for the meeting.

If you haven't already, ***check*** your adviser's registration at **aretheyregistered.ca**

DURING!

If this is your first visit, ***discuss*** your goals and objectives. If this is a subsequent visit, ***indicate*** if there has been any change to these since you last met. ***Ask:***

- Why this particular security is being recommended.
- How the security meets your investment objectives.
- Is this suitable for me? What are the risks?
- What type of commission is paid to the adviser, dealer, or financial institution? What's the MER?
- If you'll receive a prospectus, Fund Facts, Annual or interim reports, or any other documentation.

AFTER!

Keep any notes you make, along with any documentation you recieve in your files for future referral.